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² Stabilization of the radial head with the palmaris longus or the gracilis ³ tendon: an anatomical feasibility study

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7 Abstract

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8 Purpose The proximal radioulnar joint (PRUJ) and the radiocapitellar joint may be destabilized after trauma. Different 9 techniques for stabilization of PRUJ have been proposed, but none of them can stabilize the radiocapitellar joint at the same 10 time. We propose a ligamentoplasty to stabilize the radial head at these two joints by reconstructing the radial head annular 11 ligament and the lateral collateral ulnar ligament (LCUL) with a single graft (palmaris longus or gracilis tendon of the knee). 12 Methods Fifteen cadaveric upper limbs were used to compare the stabilization obtained by performing our ligamentoplasty 13 with the palmaris longus or the gracilis tendon. For each technique, the stabilization obtained was evaluated by measuring 14 the displacement of the radial head in the anterior, lateral and posterior directions when a force of 1 N was applied in maxi-15 mum supination, neutral rotation and maximum pronation. We also evaluated whether this technique could damage the ulnar 16 nerve or the posterior interosseous nerve by dissecting them and whether it could limit the range of rotation of the forearm. 17 **Results** Our ligamentoplasty enables to restore PRUJ stability equivalent to the intact ligament condition. The palmaris 18 longus was inconstant (13/15) and too short to allow concomitant reconstruction of the LCUL (except in one case). No nerve 19 damage was found during the dissection, and the range of rotation of the forearm was not limited by the ligamentoplasty. We 20 also report a clinical case with an excellent result and without complications. 21

²¹ Conclusion This ligamentoplasty we have described makes it possible to stabilize the radial head with respect to the radial
 ²² notch of the ulna and with respect to the capitellum of the humerus. The gracilis tendon is more suitable than the palmaris
 ²³ longus because of its constant presence and length. A clinical series is now necessary to better evaluate this technique.

²⁴ **Keywords** Proximal radioulnar joint · Elbow ligamentoplasty · Radial head · Elbow instability

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Introduction

The radial head is an essential stabilizer of the elbow and forearm [1, 2]. It prevents valgus destabilization of the elbow in case of injury to the medial collateral ligament of the elbow. It also prevents proximal migration of the radius in case of longitudinal instability of the forearm (i.e., Essex-Lopresti injury). In order to ensure these functions, the radial head is articulated both with the capitulum of the humerus and with the radial notch of the ulna.

In case of injury to the lateral collateral ligament complex of the elbow [3], the joint between the radial head and the humeral capitulum (i.e., radiocapitellar) may be dislocated. In case of injury to the annular ligament of the radial head, the squared ligament of the elbow [4] and the interosseous membrane, the proximal radioulnar joint (PRUJ) may be dislocated [5, 6] as is the case with an Essex-Lopresti injury [7, 8], a crisscross injury [9], a Monteggia lesion [10–13] or 54

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an improper repair of the annular ligament after a surgical 42 approach of the radial head. In some patients, both the radio-43 capitellar joint and the PRUJ can be destabilized together. 44

In order to stabilize the PRUJ, several surgical strategies 45 have been proposed (Table 1): reconstruction of the annular 46 ligament with the triceps brachii tendon [14, 15], the fore-47 arm fascia or the palmaris longus [15–18]. Reconstruction of 48 the quadrate ligament was also proposed by using the exten-49 sor carpi radialis longus tendon, but this technique involves 50 drilling a tunnel in the radius with an increased theoretical 51 risk of radius fracture [19]. However, these techniques only 52 stabilize the PRUJ, but not the radiocapitellar joint. 53

In order to overcome these limits, we have developed a technique of ligamentoplasty to stabilize the radial head in front of the humerus, but also in front of the ulna: a complete and dynamic stabilization of the radial head by reconstructing at the same time the lateral collateral ulnar ligament (LCUL) of the elbow and the annular ligament of the radial head (Fig. 1). The palmaris longus tendon seemed an ideal candidate for this, but it is not constant in all individuals [20, 21]. In addition, the design of our ligamentoplasty requires sufficient graft length and we feared that the palmaris longus was not long enough. This is why we also wanted to evaluate the possibility of using the gracilis tendon of the knee as an alternative to the palmaris longus. Indeed this tendon is constant and of a greater length than the palmaris longus.

The main purpose of this study was to evaluate the 68 anatomical feasibility of this ligamentoplasty technique by using alternatively the palmaris longus and gracilis tendons. The secondary objectives were to (1) evaluate

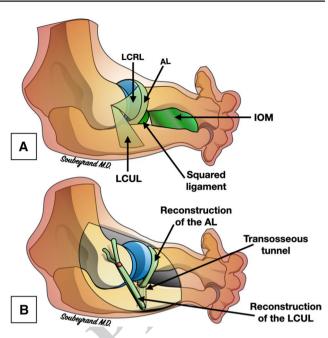


Fig. 1 The design of the ligamentoplasty allows simultaneous reconstruction of the radial head annular ligament (AL) as well as the lateral collateral ulnar ligament (LCUL). The AL is the primary stabilizer of the proximal radioulnar joint, while the interosseous membrane (IOM) and the squared ligament are secondary stabilizers

the biomechanical effect of this ligamentoplasty on PRUJ 72 stability and range of forearm rotation and (2) to evaluate the anatomical danger of the procedure for the neighboring 74 neurovascular structures. AQ1 5

Table 1 XXXX

References	Type of study	Strategy proposed for management of the radial head instability	Used graft	Comments
[16]	Clinical study	Ligamentoplasty	Triceps tendon slip	
[14]	Clinical study	Ligamentoplasty	Triceps tendon slip	Initial paper describing the original Bell Tawse technique
[15]	Clinical study	Ligamentoplasty + Ulna osteoromy	Triceps tendon slip	
[28]	Clinical study	Osteotomy + Annular ligament repair + Tem- porary pinning	N/A	Of a consecutive series of 39 Monteggia lesions, 8 were initially undiagnosed
[30]	Case report	Temporary pinning	N/A	Neglected Type I Monteggia Fracture Disloca- tion in Adult: surgery performed at 3 months post-trauma
[17]	Clinical study	Ulna bending osteotomy + Ligamentoplasty	Palmaris longus	22 patients with neglected Monteggia fracture: the graft was used to increase the length of the annular ligament torn in the trauma
[33]	Clinical study	Ulna osteotomy + Bell Tawse technique	Triceps tendon slip	21 patients with neglected Monteggia fracture
[32]	Clinical study	Ulna osteotomy with overcorrection of the angular deformity and bone elongation	N/A	9 patients with chronic post-traumatic disloca- tion of the radial head: Initial paper describ- ing the original Hirayama technique
[37]	Clinical study	Ligamentoplasty	Palmaris longus	
[38]	Clinical study	Ligamentoplasty	Allograft	
[36]	Clinical study	Ligamentoplasty	Triceps tendon slip	

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76 Materials and methods

This is a cadaveric experimental study involving 15 upper
limbs of patients who had donated their bodies to our institution. The upper limbs were disarticulated at the gleno-

humeral joint. Specimens with scars, instability, stiffness (in
flexion–extension of the elbow or in pronation–supination)

and deformity of the elbow or forearm were excluded.

Experimental protocol for evaluating radial head stabilization with ligamentoplasty

For each specimen, the elbow was approached through a 85 posterolateral approach. As a reminder, on the lateral side 86 of the elbow is the lateral collateral ligamentous complex of 87 88 the elbow [3]. The latter is composed of the lateral collateral ulnar ligament (LCUL), the annular ligament (AL) and 89 the radial collateral ligament (RCL) [3]. The LCUL and the 90 91 RCL are inserted on the lateral epicondyle: The first one is also inserted on the ulna, while the other end of the second 92 is inserted on the annular ligament. On the ulnar side of 93 the elbow is the medial ulnar collateral ligament (MUCL), 94 inserted on both the medial epicondyle and the ulna [22]. 95 The RCL and the anterior capsule were severed in order to 96 dislocate the elbow. The MUCL and posterior capsule were 97 left intact as well as the AL. This allowed the PRUJ to be 98 exposed and visualized through its superior aspect. The ulna 99 was then stabilized by three pins attached to a frame made 100 with external fixator pins (Hoffman 2, Stryker, Kalamazoo, 101 USA). The radius was left free to rotate so as not to com-102 promise pronation-supination (Fig. 2). A 3-mm-diameter 103 pin was inserted in the center of the radial head using a drill 104

motor (Fig. 3). A second reference pin was attached to the frame: In the anatomical position with the reduced PRUJ, the tips of both pins were exactly side by side.

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At this experimental stage, none of the ligaments stabiliz-108 ing the PRUJ were severed. A first stability assessment of the 109 PRUJ was performed. To do this, the pin in the radial head 110 was used as a joystick to successively apply a stress of 1 N 111 (measured with a dynamometer) in the anterior, lateral and 112 posterior directions. With such a stress, the 3-mm-diameter 113 pin inserted in the radial head did not deform. The distance 114 between the tips of both pins was measured using a digital 115 caliper: It allowed to quantify the laxity in a given direc-116 tion. Each measurement was taken twice by two independent 117 observers. The arithmetic mean of the four measurements 118 obtained in each of the three directions (anterior, lateral 119 and posterior), *i.e.*, a total of 12 measurements, was finally 120 recorded and considered as an overall quantification of the 121 PRUJ laxity. These measurements were taken with the fore-122 arm in maximum pronation, neutral rotation and maximum 123 supination. 124

Then the AL of the radial head, the squared ligament of the elbow and the interosseous membrane of the forearm were severed in order to destabilize the PRUJ. These ligaments are known to be stabilizers of PRUJ [4, 6, 23–25]. A new assessment of the PRUJ stability was performed according to the same protocol as described above (Fig. 4).

In a third step, we performed the ligamentoplasty that we designed (Fig. 5a). A 4-mm-diameter tunnel was made in the ulna. Its direction was antero-posterior, with an entry point just below the radial notch (Fig. 5b). The target exit point was the ulnar insertion zone of the LCUL. The palmaris longus (if present) and the homolateral gracilis tendon on 136

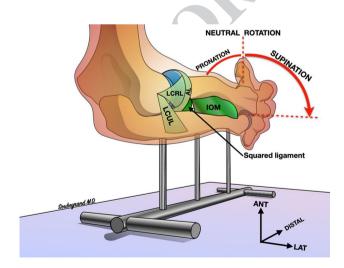


Fig.2 Diagram of the experimental setup. The ulna is fixed in a frame composed of bars and pins of external fixator. The radius is left free so as not to impede the rotation of the forearm

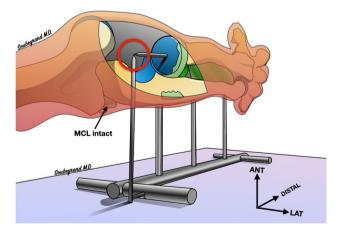


Fig. 3 The lateral collateral ulnar ligament (LCUL) was severed as well as the anterior capsule in order to dislocate the elbow. The medial ulnar collateral ligament (MCL) was left intact. Two pins were inserted, in the radial head and attached to the experimental frame, respectively. In an anatomical position, the tips of the two pins are side by side

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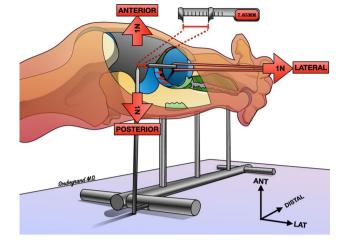


Fig. 4 The laxity of the proximal radioulnar joint (PRUJ) is tested by exerting a traction of 1 N (N) in the anterior, lateral and posterior directions, respectively. Each displacement is measured using a digital caliper. In the injured configuration, the interosseous membrane, square ligament and annular ligament were severed to simulate PRUJ instability

the knee were harvested from the same body. Each tendon 137 was used successively to perform the ligamentoplasty as fol-138 lows: The tendon was folded in a loop around the neck of 139 the radius, and then, the two free ends were passed through 140 the ulnar tunnel. The graft was placed under tension and 141 this tension was maintained by clamping the two strands 142 flush with the exit point of the ulnar tunnel. A new stability 143 assessment was then performed as described above with the 144 palmaris longus tendon or the gracilis tendon. For each liga-145 mentoplasty performed with either tendon, the elbow was 146 reduced by placing the humerus back in its anatomical posi-147 tion in front of the ulna and radius (Fig. 5c). An attempt was 148 then made to return the free strands of the graft to the lateral 149 epicondyle in order to reconstruct the LCUL. The graft was 150 considered long enough if it extended at least 1.5 cm beyond 151 the apex of the lateral epicondyle. Indeed, we believe that 152 this length of tendon is the minimum required to achieve 153 a fixation on the humerus with sufficient strength to allow 154 early rehabilitation of the elbow in the postoperative period. 155

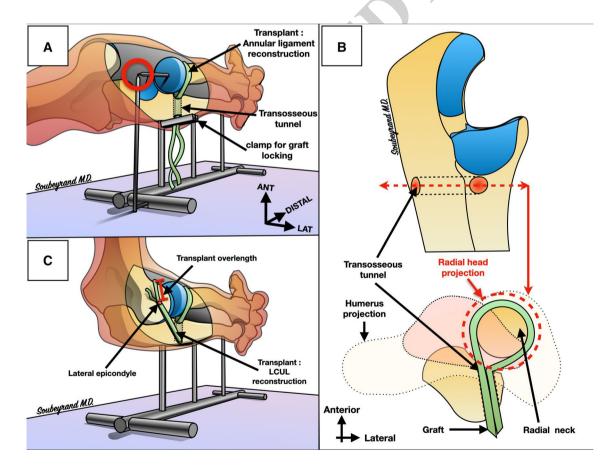


Fig.5 Experimental protocol. **a** The stability of the proximal radioulnar joint is also tested after reconstruction of the annular ligament with the palmaris longus and with the gracilis tendon. **b** The entry point of the transosseous tunnel is located just below the radial incision of the ulna. Its direction is from front to back as shown in the

anatomical section. c In the final stage the elbow is reduced and the graft is redirected to the lateral epicondyle. A minimum overlength of 1.5 cm from the top of the lateral epicondyle was considered necessary to ensure proper fixation

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In total, there were four successive configurations for 156 each forearm: (1) intact, (2) destabilized, (3) ligamentoplasty 157 with the palmaris longus (LPL) and (4) ligamentoplasty with 158 the gracilis (LGT). 159

Experimental protocol to assess the anatomical risk 160 of this technique 161

At the end of each ligamentoplasty, we performed a dissec-162 tion of the ulnar nerve as well as the posterior interosse-163 ous nerve. Indeed these two structures are the most at risk 164 of being damaged during the realization of this technique. 165 Their status (intact/injured) was recorded. 166

Moreover, the risk of any ligamentoplasty is to generate joint stiffness: In the case of our study, the theoretical risk was that the ligamentoplasty would limit the range of rotation of the forearm. We therefore measured mobility in maximum pronation and maximum supination for the three configurations. Each measurement was repeated twice by two independent observers using a goniometer, and the arithmetic mean of these four measurements was then recorded.

Statistical analysis 175

Data were analyzed using Prism software (GraphPad soft-176 wares) for Mac OS. One-way ANOVA with multiple comparisons and post hoc analyses using the Bonferroni comparison test were the primary tests, whereas paired t-test were

used to compare the range of motions between each experi-180 mental configuration, using a p < 0.05 significance level. 181 Throughout the text and figures, the mean value \pm SEM 182 notations are used in describing the results. 183

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Results

The mean age of the specimens was 83 years (69–91). Eight 185 were females, and seven were males. Out of 15 specimens, 186 only 12 had a palmaris longus and they all had gracilis 187 tendon. 188

As indicated by the ANOVA, the combined sections of the annular ligament, the square ligament and the interosseous membrane resulted in significant laxity in all three positions of forearm rotation (maximum supination, neutral rotation and maximum pronation) and in all three directions: anterior (intact VS destabilized: p < 0.001), lateral (intact VS destabilized: p < 0.001) and posterior (intact VS destabilized: p < 0.001). The occurrence of this laxity was observed in all specimens.

Ligamentoplasty, whether performed using the palmaris longus (Fig. 6) or the gracilis tendon (Fig. 7), restored stability equivalent to the intact configuration, in the three positions of rotation of the forearm (maximum supination, neutral rotation and maximum pronation) and in the three directions: anterior (intact VS LPL: nonsignificant (ns)), lateral (intact VS LPL: ns) and posterior (intact VS LPL: ns).



Fig. 6 Results of testing the proximal radioulnar joint **Maximal Pronation** (PRUJ) in the three positions ns of forearm rotation (maximum pronation, neutral rotation, *** 40 maximum supination) and in PRUJ laxity (mm) 0 00 00 the three configurations (intact, destabilized (section of the interosseous membrane, square ligament and annular ligament), ligamentoplasty with the gracilis tendon (LGT)). ns not Ligamentoplasty with significant. **** = statistically **Palmaris Longus** n significant Intact Section **Neutral Rotation** ns ns **** 40 *** 40 PRUJ laxity (mm)

Maximal Supination PRUJ laxity (mm) 0 Intact Section LPL

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LPL

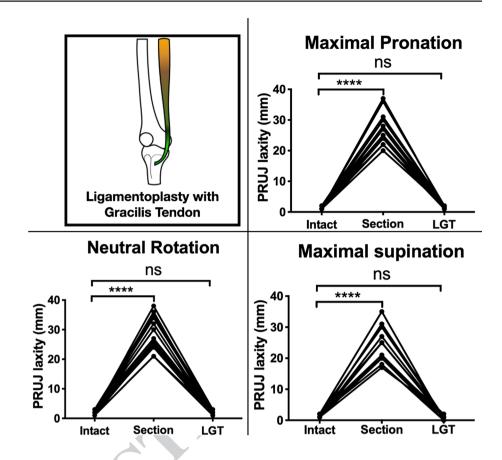
Section

LPL

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Intact

Fig. 7 Results of testing the proximal radioulnar joint (PRUJ) in the three positions of forearm rotation (maximum pronation, neutral rotation, maximum supination) and in the three configurations (intact, destabilized (section of the interosseous membrane, square ligament and annular ligament), ligamentoplasty with the palmaris longus (LPL)). *ns* not significant. **** = statistically significant



In the intact configuration, the average maximum supina-205 206 tion was 87° (±0.62), while the average maximum pronation was $87.4^{\circ} (\pm 0.49)$. In the LGT configuration, the mean max-207 imum supination was $87.5^{\circ} (\pm 0.35)$, while the mean maxi-208 mum pronation was 87° (± 0.53). In the LPL configuration, 209 the mean maximum supination was $87.5^{\circ} (\pm 0.43)$, while the 210 mean maximum pronation was $87.7^{\circ} (\pm 0.25)$. The paired 211 t-test showed no significant difference between the intact 212 configuration and the LGT and LPL groups, respectively. 213

The dissections performed after ligamentoplasty revealed neither ulnar nerve damage nor damage to the posterior inteerosseous nerve.

Finally, in all cases where the ligamentoplasty was performed with the gracilis tendon, there was sufficient length to achieve fixation of the graft on the lateral epicondyle (associated reconstruction of the LCUL). This was only possible in 1 out of 15 cases when we used the palmaris longus.

222 Discussion

During this experimental work, we showed that the ligamentoplasty we designed to simultaneously reconstruct the annular ligament and the LCUL made it possible to restore normal stability of the radial head. This was done without compromising the range of rotation of the forearm,

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whether using the palmaris longus or the gracilis tendon. 228 We confirmed the fact that the palmaris longus was not 229 constant, thus limiting its use. The ligamentoplasty tech-230 nique appeared to be anatomically safe for the ulnar nerve 231 and the posterior interosseous nerve. Finally, concomitant 232 reconstruction of the LCUL was possible in all cases with 233 the gracilis tendon and in only one case with the palmaris 234 longus. 235

The annular ligament is the primary stabilizer of the 236 PRUJ [26, 27], while the square ligament and interosseous 237 membrane are secondary stabilizers [5, 7]. In case of injury 238 to the annular ligament of the radial head and the interosse-239 ous membrane, the proximal radioulnar joint (PRUJ) may be 240 dislocated [5, 6] as is the case with an Essex-Lopresti injury 241 [7, 8], a crisscross injury [9], a Monteggia lesion [6–9] or 242 an improper repair of the annular ligament after a surgi-243 cal approach of the radial head. In case of acute Monteggia 244 lesion, the PRUJ automatically relocates as the ulnar frac-245 ture is reduced. Apart from this rather favorable situation, 246 PRUJ instability can sometimes persist. This instability can 247 be of variable intensity, ranging from episodes of dynamic 248 subluxation to complete and permanent dislocation of the 249 radial head. Although rare, this condition is really challeng-250 ing, especially when the lesion is in chronic phase. In case of 251 chronic PRUJ instability, some authors proposed stabiliza-252 tions by temporary radiocapitellar pinning, but with mixed 253

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results [28–31]. For chronic neglected Monteggia lesions, 254 it has been proposed to perform bending/lengthening oste-255 otomies of the ulna and/or shortening/rotation osteotomies 256 of the radius with specific complications of the osteotomies 257 [16, 17, 32–34]. Other authors have proposed reconstruc-258 tion of the annular ligament by using various grafts such as 250 a bundle of the triceps brachii tendon as described by Bell 260 Tawse [14, 15, 35, 36], a portion of the antebrachial fascia 261 [14], the palmaris longus [37] or an allograft [38]. Recon-262 struction of the quadrate ligament was also proposed by 263 using the extensor carpi radialis longus tendon [19]. How-264 ever, this technique involves drilling a tunnel in the radius 265 with an increased theoretical risk of radius fracture and it 266 267 mechanically limits the rotation of the radius. In most of these techniques, the grafts are fastened to the ulna's sur-268 face which limits the intrinsic resistance of the construct 269 to maintain the radial head in proper position. Therefore, 270 these techniques require a postoperative immobilization. In 271 the pediatric population, which is the most concerned in the 272 literature with neglected Monteggia's lesions, the potential 273 for recovery of joint amplitudes is better than in the adult 274 population. In adults, postoperative immobilization of the 275 elbow quickly leads to stiffness of prono-supination and flex-276 ion-extension. Another limitation of these techniques is that 277 they do not stabilize the radiocapitellar joint. The ligamen-278 toplasty we have developed makes it possible to reconstruct 279 the annular ligament and the LCUL ligament with a single 280 graft. Therefore, it results in the stabilization of the radial 281 head with respect to the ulna (PRUJ), but also with respect 282 to the capitellum of the humerus (radiocapitellar joint). This 283 may be useful in unusual clinical situations such as the one 284 reported below where instability of the PRUJ is associated 285 with lateral instability of the elbow. 286

287 Our study has several limitations

As with any study conducted on cadavers, the question arises 288 as to what the in vivo translation will yield. For example, 289 there is a theoretical risk of graft resorption or radioulnar 290 synostosis that cannot be ruled out until the technique has 291 been used in living patients. Of course, we have not yet been 292 able to carry out a clinical series, but it just so happens that 293 we have had to use this technique on a 44-year-old patient 294 who fell from his height onto his left hand. He had a very 295 unusual association of injury with a distal radioulnar dis-296 junction, a forearm interosseous membrane tear (diagnosed 297 by ultrasound), a radial shaft fracture, a proximal radioulnar 298 disjunction and a posterolateral elbow dislocation (Fig. 8). 299 We reinserted the triangular fibrocartilage complex, per-300 formed an interosseous membrane ligamentoplasty with a 301 semitendinosus tendon graft reinforced with two tight ropes 302 to stabilize the forearm, reduced and fixed the radius frac-303 ture. We also used the ligamentoplasty we describe in this 304

article to stabilize the PRUJ and the elbow. The gracilis 305 tendon was used, reinforced with a non-absorbable suture 306 along its entire length. Fixations of the graft to the ulna and 307 lateral epicondyle of the humerus were performed with G2 308 anchors (Mitek°). Early rehabilitation was started, passive 309 without limit and active supported until the sixth week. 310 From the sixth week, the patient was allowed to resume a 311 normal life. At 1 year postoperatively, the result is excellent 312 with a complete absence of pain, normal preservation of the 313 radius/ulna relationship and a stable elbow. The mobility in 314 flexion-extension is $-5^{\circ}/120^{\circ}$, and in pronation-supination, 315 it is 85°/85°. On the radiographs, the radial head appears 316 properly reduced in front of the ulna and the humerus. There 317 is also a notch on the neck of the radius corresponding to the 318 imprint of the graft, but which is of no consequence. This 319 notch indirectly proves that the graft has not resorbed. An 320 MRI would be the imaging procedure of choice for direct 321 visualization of the graft, but the excellent clinical condition 322 of the patient did not justify such an imaging procedure. The 323 patient had no pain at the knee harvesting site. Although 324 limited, this first clinical experience is very encouraging. 325

Another limitation of the study is that we did not test the 326 mechanical strength of the ligamentoplasty. This is essential 327 to enable early rehabilitation to be envisaged, which guar-328 antees the recovery of correct joint mobility. In particular, 329 the choice of graft fixation on the humerus plays an impor-330 tant role. In the clinical case reported above, we used two 331 anchors, but it would also have been possible to create a 332 transosseous tunnel in the lateral epicondyle. A subsequent 333 biomechanical study would be necessary to clarify these 334 technical points. 335

Finally, a limitation of this technique is the use of autolo-336 gous grafts. This study shows that the palmaris longus is 337 sufficient for isolated reconstruction of the annular liga-338 ment, but that if the surgical project is to also reconstruct 339 the LCUL at the same time, the use of the gracilis tendon 340 is to be preferred. We chose to study the palmaris longus 341 and the gracilis tendon because they are commonly used in 342 ligament surgery. However, the palmaris longus is incon-343 sistent, which is a well-known fact that we have confirmed 344 in our study [39]. On the other hand, the gracilis tendon is 345 constant, but requires the harvesting on another limb with 346 the risk of complications specific to the harvesting (pain, 347 scarring problems and failure of harvesting by surgeons of 348 the upper limb who are not accustomed to it). An interesting 349 approach would be to use artificial ligaments composed of 350 polyethylene terephthalate, for example. A specific clinical 351 study would be necessary to evaluate the interest of this. 352

In conclusion, this ligamentoplasty technique allows 353 the stabilization of the radial head. A first clinical case is 354 encouraging, but a clinical study is now necessary to clarify 355 the potential and limits of this ligamentoplasty. 356

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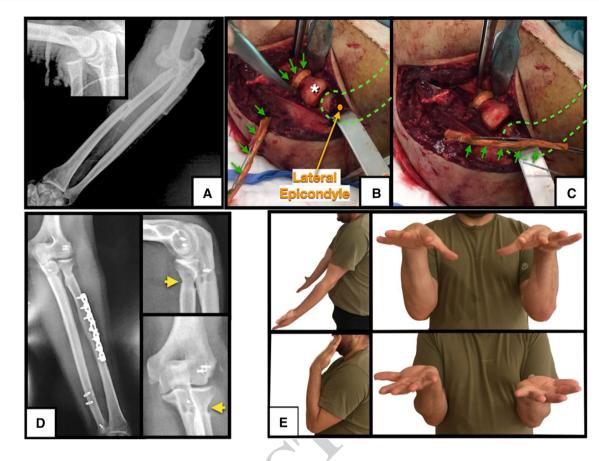


Fig.8 Illustrations of the clinical case. **a** Preoperative radiographs showing dislocation of the humero-ulnar and proximal radioulnar joints. **b** Intraoperative view after reconstruction of the annular ligament and transosseous passage of the gracilis tendon (green arrows). Discontinuous green line: humerus. **c** The gracilis tendon is then

rerouted to the lateral epicondyle. **d** Postoperative radiographs at 1 year. A notch appears on the neck of the radius (yellow arrow) corresponding to the imprint of the graft. **e** mobility of the elbow and forearm at 1 year postoperatively

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- Funding This research did not receive any specific grant from fundingagencies in the public, commercial or not-for-profit sectors.
- 364 Availability of data and material Experimental data are available.
- 365 Compliance with ethical standards
- 366 Conflict of interest None of the authors have a conflict of interest to367 declare.
- 368 Ethical approval This study was conducted at the Paris School of Sur-369 gery. The ethical rules of our institution were respected.
- Consent to participate In this article, we report the clinical case of a
 patient who has given his written consent for the use of his anonymized
 data for the purposes of this publication.

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